

6-3-3

CPA/1646



Please use a plus sign (+) inside this box ☐

Attorney Docket No.: **P1129R1**

PATENT

**CERTIFICATION UNDER 37 CFR 1.10**

926595 US: Express Mail Number

June 2, 2003: Date of Deposit

I hereby certify that this Continued Prosecution Application (CPA) Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

*Diane L. Marschang*  
Diane L. Marschang

#31  
pgs  
6/10/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

PRIOR APPLICATION Serial No. 09/114,844  
PRIOR APPLICATION Examiner: C. Kaufman  
Prior Art Unit: 1646

**RECEIVED**

Mail Stop CPA  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUN 06 2003

TECH CENTER 1600/2900

**CONTINUED PROSECUTION APPLICATION (CPA) TRANSMITTAL**

1. This is a request for a filing under the continued prosecution application procedure, 37 CFR 1.53(d), for

☒ continuation  
☐ divisional

of prior application Serial Number 09/114,844 filed on July 14, 1998, entitled RTD RECEPTOR.

**2. Declaration or Oath**

☒ None required; the inventorship for all the claims in this application is the same as the prior application.

☐ None required; this application is filed by fewer than all the inventors named in the prior application (37 CFR 1.53(d)(4)).

06/04/2003 CNGUYEN 00000044 070630 09114844

01 FC:1006  
02 FC:1202  
03 FC:1201

750.00 CH  
324.00 CH  
168.00 CH

Please delete the following inventor(s) named in the prior nonprovisional application:

The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

☐ An executed Declaration/Oath and Petition Under 1.48 [ ] is enclosed [ ] will follow; this application is filed by additional inventors not named in the prior application.

**3. Assignment**

☐ An assignment of the invention to Genentech, Inc. [ ] is enclosed with attached Recordation Form Cover Sheet [ ] will follow.

☒ The prior application is assigned of record to Genentech, Inc.

**4. Amendments**

- ☐ Cancel in this application Claims \_\_\_\_ of the prior application before calculating the filing fee.
- ☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- ☐ Enter the unentered amendment previously filed on \_\_\_\_ (date) under 37 CFR 1.116 in the prior non-provisional application.

**5. Fee Calculation (37 CFR 1.16)**

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$740.00
Total Claims	38	- 20 =	18	\$18.00	\$324.00
Independent Claims	5	- 3 =	2	\$80.00	\$156.00
<input checked="" type="checkbox"/> Multiple dependent claim(s), if any				\$270.00	\$270.00
Filing Fee Calculation					\$1,490.00

**6. Method of Payment of Fees**

- ☒ The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$1,490.00. **A duplicate copy of this transmittal is enclosed.**

**7. Authorization to Charge Additional Fees**

- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

**8. Additional Papers Enclosed**

- ☐ Information Disclosure Statement (37 CFR § 1.98) w/ PTO-1449 and citations
- ☐ Submission of "Sequence Listing", computer readable copy, certificate re: sequence listing, and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- ☐ A new Power of Attorney or authorization of agent.
- ☐ Other:

**9. Maintenance of Copendency of Prior Application**

- ☒ A petition and fee are enclosed to extend the term in the pending prior application until 2003. As June 1, 2003 falls on a Sunday, this paper is being timely filed.
- ☒ A copy of the petition for extension of time in the *prior* application is attached.

09/114,844

10. Correspondence Address:

X Address all future communications to:

Attn: Diane L. Marschang

Dated: June 2, 2003



Diane L. Marschang  
Registration No. 35,600  
Telephone No. (650) 225-5416



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